



#13

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**Applicant or Patentee: **JOSEPH R. STONOH**

Serial or Patent No Not Yet Assigned

Docket No.: 632.0001USU

Filed or Issued: Concurrently Herewith

For: **LABEL MAKER AND METHOD****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR §§1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: **LABEL MAKER AND METHOD**

described in

☐ the specification filed herewith☒ Application, Serial No.: **09/676,805** filed: **September 29, 2000**☐ Patent No.: \_\_\_\_\_, issued: \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Such person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization.☐ persons, concerns, or organizations listed below.\*

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

FULL NAME: **JOSEPH R. STONOH**  
ADDRESS: **273 BULKLEY DRIVE**  
**FAIRFIELD, CONNECTICUT 06432**

[XXX] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Joseph R. Stonoha  
NAME OF INVENTOR

  
SIGNATURE

December 28, 2000  
DATE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant or Patentee: **ALFRED SGAMBATI**

Serial or Patent No Not Yet Assigned

Docket No.: 632.0001USU

Filed or Issued: Concurrently Herewith

For: **LABEL MAKER AND METHOD**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR §§1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR §1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: **LABEL MAKER AND METHOD**

described in

☐ the specification filed herewith

☒ Application, Serial No.: **09/676,805** filed: **September 29, 2000**

☐ Patent No.: \_\_\_\_\_, issued: \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR §1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

Such person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization.

☐ persons, concerns, or organizations listed below.\*

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

FULL NAME: **ALFRED SGAMBATI**

ADDRESS: **4 CHILTERN STREET  
FARMINGTON, CONNECTICUT 06032**

[XXX] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Alfred Sgambati

NAME OF INVENTOR

December 28, 2000

DATE

  
SIGNATURE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph R. Stonoha and Alfred Sgambati  
Serial No.: 09/676,805  
Filed: September 29, 2000  
For: LABEL MAKER AND METHOD  
Attorney Docket No.: 632.0001USU

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the  
concern identified below:

NAME OF CONCERN: **Netc, L.L.C.**

ADDRESS OF CONCERN: **P.O. Box 320784  
Fairfield, Connecticut 06432**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

## LABEL MAKER AND METHOD

described in

☐ the specification filed herewith

☒ Application, Serial No.: **09/676,805** filed: **September 29, 2000**

☐ Patent No.: \_\_\_\_\_, issued: \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME:

Joseph R. Stancha

ADDRESS:

273 Bulkeley Dr. Fairfield, Ct 06432

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME:

ALFRED SGAMBATI

ADDRESS:

4 CHILTERN ST., FARMINGTON, CT 06032

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and

the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

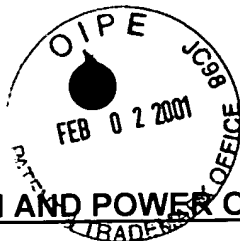
NAME OF PERSON SIGNING:  
TITLE OF PERSON OTHER THAN OWNER:

\_\_\_\_\_

ADDRESS OF PERSON SIGNING:

\_\_\_\_\_  
P.O. Box 320784  
Fairfield, Connecticut 06432

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_, 2001



#3

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. 632.0001USU

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our respective names.

We believe we are the original, and first joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### **LABEL MAKER AND METHOD**

the specification of which

(check one) ☐ is attached hereto.

**XXX** was filed on **September 29, 2000** as Application Serial No. **09/676,805** and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to us to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate(s) listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: \_\_\_\_\_



60/157,277  
(Application Serial No.)

October 1, 1999  
(Filing Date)

Abandoned  
(Status - patent, pend., abandon.)

(Application Serial No.)

(Filing Date)

(Status - patent, pend., abandon.)

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

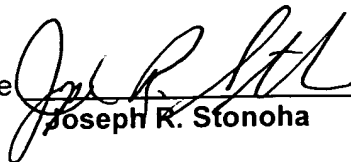
NAMES	REGISTRATION NUMBERS
Paul D. Greeley	31,019
Charles N.J. Ruggiero	28,468
Harry F. Smith	32,493

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO:
Charles N.J. Ruggiero Ohlandt, Greeley, Ruggiero & Perle, L.L.P. One Landmark Square, 10th Floor Stamford, Connecticut 06901-2682	Charles N.J. Ruggiero, Esq. Telephone: (203) 327-4500 Telefax: (203) 327-6401

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME <b>STONHA</b>	FIRST NAME <b>JOSEPH</b>	MIDDLE NAME <b>R.</b>
RESIDENCE & CITIZENSHIP	CITY <b>FAIRFIELD</b>	STATE OR COUNTRY <b>CONNECTICUT</b>	CITIZENSHIP <b>US</b>
POST OFFICE ADDRESS	P.O. ADDRESS <b>273 BULKLEY DRIVE</b>	CITY & STATE <b>FAIRFIELD, CONNECTICUT</b>	ZIP CODE <b>06432</b>

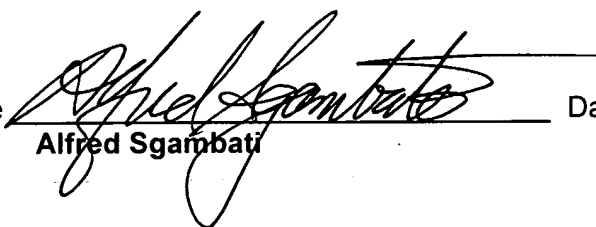
Inventor's signature

  
Joseph R. Stonoha

Date 12/28, 2000

FULL NAME OF INVENTOR	LAST NAME <b>SGAMBATI</b>	FIRST NAME <b>ALFRED</b>	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY <b>FARMINTON</b>	STATE OR COUNTRY <b>CONNECTICUT</b>	CITIZENSHIP <b>US</b>
POST OFFICE ADDRESS	P.O. ADDRESS <b>4 CHILTERN STREET</b>	CITY & STATE <b>FARMINGTON, CONNECTICUT</b>	ZIP CODE <b>06032</b>

Inventor's signature

  
Alfred Sgambati

Date December 28, 2000